

Form 604

Corporations Act 2001

Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme Red 5 Ltd (RED)

ACN/ARSN 068 647 610

1. Details of substantial holder (1)

Name Regal Funds Management Pty Ltd (RFM)

ACN/ARSN (if applicable) 107 576 821

There was a change in the interests of the substantial holder on

13/01/2020

The previous notice was given to the company on

20/06/2019

The previous notice was dated

18/06/2019

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Current notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORDINARY	87,509,251	7.04%	74,993,487	6.02%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
	See Annexure 1		

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS AG, AUSTRALIA BRANCH	UBS NOMINEES PTY LTD	UBS AG, AUSTRALIA BRANCH	HOLDER OF SHARES	27,760,600 ORDINARY	27,760,600
MORGAN STANLEY & CO INTL PLC	HSBC CUSTODY NOMINEES (AUSTRALIA) LIMITED A/C 2	MORGAN STANLEY & CO INTL PLC	HOLDER OF SHARES	332,595 ORDINARY	332,595
MERRILL LYNCH INTERNATIONAL LIMITED	MERRILL LYNCH (AUS) NOMINEES PTY LTD	MERRILL LYNCH INTERNATIONAL LIMITED	HOLDER OF SHARES	5,517,071 ORDINARY	5,517,071
CREDIT SUISSE SECURITIES EUROPE LTD	CREDIT SUISSE SECURITIES EUROPE LTD	CREDIT SUISSE SECURITIES EUROPE LTD	HOLDER OF SHARES	41,383,221 ORDINARY	41,383,221

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
NOT APPLICABLE	

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
PHILIP KING	LEVEL 47 GATEWAY 1 MACQUARIE PLACE SYDNEY NSW 2000

Signature

print name Kenny Ho capacity Company Secretary

sign here 

date 15/01/2020

Annexure 1

Changes in relevant interests

Date	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities affected	Class of securities	Persons votes affected
28/06/2019	Regal Funds Management	Buy	28631.06	165881	Ordinary	165881
4/07/2019	Regal Funds Management	Buy	10196.28	52504	Ordinary	52504
9/07/2019	Regal Funds Management	Buy	2012457.93	9583133	Ordinary	9583133
9/07/2019	Regal Funds Management	Sell	121856.04	539186	Ordinary	539186
10/07/2019	Regal Funds Management	Sell	68860.82	295921	Ordinary	295921
11/07/2019	Regal Funds Management	Sell	425156.83	1790134	Ordinary	1790134
18/07/2019	Regal Funds Management	Sell	34500.00	150000	Ordinary	150000
19/07/2019	Regal Funds Management	Sell	192240.00	800000	Ordinary	800000
24/07/2019	Regal Funds Management	Sell	180000.00	800000	Ordinary	800000
30/07/2019	Regal Funds Management	Buy	116334.89	500150	Ordinary	500150
30/07/2019	Regal Funds Management	Sell	428053.00	1861100	Ordinary	1861100
31/07/2019	Regal Funds Management	Sell	682676.66	2832156	Ordinary	2832156
29/08/2019	Regal Funds Management	Sell	676000.00	2000000	Ordinary	2000000
30/08/2019	Regal Funds Management	Sell	1469826.41	4352644	Ordinary	4352644
4/09/2019	Regal Funds Management	Sell	115500.00	300000	Ordinary	300000
10/09/2019	Regal Funds Management	Buy	42935.40	143118	Ordinary	143118
11/09/2019	Regal Funds Management	Buy	16637.39	53669	Ordinary	53669
12/09/2019	Regal Funds Management	Buy	20930.89	67519	Ordinary	67519
25/09/2019	Regal Funds Management	Sell	138600.00	440000	Ordinary	440000
26/09/2019	Regal Funds Management	Sell	93000.00	300000	Ordinary	300000
30/09/2019	Regal Funds Management	Buy	16100.70	53669	Ordinary	53669
2/10/2019	Regal Funds Management	Buy	16899.20	59905	Ordinary	59905

3/10/2019	Regal Funds Management	Buy	353211.30	1195419	Ordinary	1195419
4/10/2019	Regal Funds Management	Buy	55273.53	177102	Ordinary	177102
7/10/2019	Regal Funds Management	Buy	22681.55	70902	Ordinary	70902
8/10/2019	Regal Funds Management	Buy	4553.12	14831	Ordinary	14831
9/10/2019	Regal Funds Management	Buy	13616.23	42644	Ordinary	42644
24/10/2019	Regal Funds Management	Buy	1704837.51	6307205	Ordinary	6307205
25/10/2019	Regal Funds Management	Buy	61333.86	213558	Ordinary	213558
12/11/2019	Regal Funds Management	Buy	227645.83	827803	Ordinary	827803
12/11/2019	Regal Funds Management	Sell	27500.00	100000	Ordinary	100000
13/11/2019	Regal Funds Management	Buy	56000.00	200000	Ordinary	200000
3/12/2019	Regal Funds Management	Buy	27651.52	102565	Ordinary	102565
10/12/2019	Regal Funds Management	Sell	424980.00	1800000	Ordinary	1800000
16/12/2019	Regal Funds Management	Sell	528545.00	1850000	Ordinary	1850000
17/12/2019	Regal Funds Management	Sell	371150.00	1300000	Ordinary	1300000
18/12/2019	Regal Funds Management	Sell	182000.00	650000	Ordinary	650000
19/12/2019	Regal Funds Management	Sell	275148.93	995834	Ordinary	995834
23/12/2019	Regal Funds Management	Sell	54000.00	200000	Ordinary	200000
30/12/2019	Regal Funds Management	Sell	160000.00	500000	Ordinary	500000
2/01/2020	Regal Funds Management	Sell	218418.31	671958	Ordinary	671958
6/01/2020	Regal Funds Management	Sell	569440.00	1600000	Ordinary	1600000
8/01/2020	Regal Funds Management	Sell	356100.00	1000000	Ordinary	1000000
9/01/2020	Regal Funds Management	Sell	428702.20	1218408	Ordinary	1218408
10/01/2020	Regal Funds Management	Sell	852750.00	2500000	Ordinary	2500000
13/01/2020	Regal Funds Management	Sell	498300.00	1500000	Ordinary	1500000

