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# Form 604

Corporations Law  
Section 671B

## Notice of change of interests of substantial holder

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To: Company Name/Scheme **Red 5 Limited**

ACN/ARSN **068 647 610**

### 1. Details of substantial holder

Name **Bank of America Corporation and its related bodies corporate  
(See Appendix C)**

ACN/ARSN (if applicable): \_\_\_\_\_

There was a change in the interests of the substantial holder on **4 August 2020**

The previous notice was given to the company on **25 June 2020**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's Votes	Voting Power (5)	Person's Votes	Voting Power (5)
Ordinary	109,756,227	5.60%	133,075,510	6.79%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and Number of securities affected	Person's votes affected
Please see Appendix A					

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Merrill Lynch (Australia) Futures Limited	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch (Australia) Futures Limited	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 72,652,309	72,652,309
Merrill Lynch International (Australia) Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International (Australia) Ltd	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 59,616,477	59,616,477
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 764,670	764,670
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 42,054	42,054

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
N/A	N/A

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Limited	Level 38, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	Level 19, 120 Collins St, Melbourne Vic 3000, Australia
Merrill Lynch International (Australia) Ltd	Level 38, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International	2 King Edward Street, London, England, EC1A 1HQ, United Kingdom

**SIGNATURE**

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 6 August 2020



## Appendix A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
06/24/2020	Merrill Lynch International	Securities returned	N/A	Ordinary	(950,600)	(950,600)	Refer to App. B
06/24/2020	Merrill Lynch International	Securities returned	N/A	Ordinary	(800,000)	(800,000)	Refer to App. B
06/24/2020	Merrill Lynch (Australia) Futures Limited	SELL	(8,204)	Ordinary	(37,289)	(37,289)	N/A
06/24/2020	Merrill Lynch (Australia) Futures Limited	BUY	104,791	Ordinary	470,128	470,128	N/A
06/24/2020	Merrill Lynch (Australia) Futures Limited	SELL	(63,242)	Ordinary	(287,462)	(287,462)	N/A
06/25/2020	Merrill Lynch International	SELL	(14)	Ordinary	(63)	(63)	N/A
06/25/2020	Merrill Lynch (Australia) Futures Limited	SELL	(149,727)	Ordinary	(767,829)	(767,829)	N/A
06/25/2020	Merrill Lynch (Australia) Futures Limited	BUY	2,179	Ordinary	9,906	9,906	N/A
06/25/2020	Merrill Lynch (Australia) Futures Limited	BUY	196,350	Ordinary	965,549	965,549	N/A
06/26/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(6,451)	(6,451)	Refer to App. B
06/26/2020	Merrill Lynch International	Securities returned	N/A	Ordinary	(382,334)	(382,334)	Refer to App. B
06/26/2020	Merrill Lynch International	Securities returned	N/A	Ordinary	(43,400)	(43,400)	Refer to App. B
06/26/2020	Merrill Lynch (Australia) Futures Limited	SELL	(91,992)	Ordinary	(446,813)	(446,813)	N/A
06/26/2020	Merrill Lynch (Australia) Futures Limited	BUY	48,685	Ordinary	243,424	243,424	N/A
06/26/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,230,000	Ordinary	6,000,000	6,000,000	N/A
06/29/2020	Merrill Lynch (Australia) Futures Limited	BUY	90,605	Ordinary	428,964	428,964	N/A
06/29/2020	Merrill Lynch (Australia) Futures Limited	SELL	(48,035)	Ordinary	(228,738)	(228,738)	N/A
06/30/2020	Merrill Lynch International	BUY	11	Ordinary	52	52	N/A
06/30/2020	Merrill Lynch International	SELL	(11)	Ordinary	(52)	(52)	N/A
06/30/2020	Merrill Lynch (Australia) Futures Limited	BUY	2,050	Ordinary	9,764	9,764	N/A
06/30/2020	Merrill Lynch (Australia) Futures Limited	BUY	71,246	Ordinary	353,639	353,639	N/A
06/30/2020	Merrill Lynch (Australia) Futures Limited	SELL	(43,496)	Ordinary	(211,571)	(211,571)	N/A
06/30/2020	Merrill Lynch (Australia) Futures Limited	SELL	(111,089)	Ordinary	(555,447)	(555,447)	N/A
07/01/2020	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	1,677,454	1,677,454	Refer to App. B
07/01/2020	Merrill Lynch (Australia) Futures Limited	BUY	109,006	Ordinary	474,954	474,954	N/A
07/01/2020	Merrill Lynch (Australia) Futures Limited	BUY	57,500	Ordinary	250,000	250,000	N/A
07/01/2020	Merrill Lynch (Australia) Futures Limited	SELL	(166,739)	Ordinary	(724,954)	(724,954)	N/A
07/02/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(660,319)	(660,319)	Refer to App. B
07/02/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(795,263)	(795,263)	Refer to App. B
07/02/2020	Merrill Lynch International	SELL	(0)	Ordinary	(1)	(1)	N/A
07/02/2020	Merrill Lynch International	BUY	0	Ordinary	1	1	N/A
07/02/2020	Merrill Lynch (Australia) Futures Limited	BUY	189,996	Ordinary	808,493	808,493	N/A
07/02/2020	Merrill Lynch (Australia) Futures Limited	BUY	57,500	Ordinary	250,000	250,000	N/A
07/02/2020	Merrill Lynch (Australia) Futures Limited	SELL	(118,961)	Ordinary	(517,220)	(517,220)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/03/2020	Merrill Lynch International	SELL	(8)	Ordinary	(35)	(35)	N/A
07/03/2020	Merrill Lynch International	BUY	8	Ordinary	35	35	N/A
07/03/2020	Merrill Lynch International	SELL	(8)	Ordinary	(35)	(35)	N/A
07/03/2020	Merrill Lynch International	BUY	8	Ordinary	35	35	N/A
07/03/2020	Merrill Lynch (Australia) Futures Limited	BUY	57,500	Ordinary	250,000	250,000	N/A
07/03/2020	Merrill Lynch (Australia) Futures Limited	SELL	(181,993)	Ordinary	(791,272)	(791,272)	N/A
07/06/2020	Merrill Lynch (Australia) Futures Limited	BUY	10,316	Ordinary	45,850	45,850	N/A
07/06/2020	Merrill Lynch (Australia) Futures Limited	SELL	(10,316)	Ordinary	(45,850)	(45,850)	N/A
07/07/2020	Merrill Lynch (Australia) Futures Limited	BUY	97,762	Ordinary	425,050	425,050	N/A
07/07/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,311	Ordinary	5,580	5,580	N/A
07/07/2020	Merrill Lynch (Australia) Futures Limited	SELL	(97,762)	Ordinary	(425,050)	(425,050)	N/A
07/08/2020	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	3,404,560	3,404,560	Refer to App. B
07/08/2020	Merrill Lynch International	Securities borrowed	N/A	Ordinary	764,670	764,670	Refer to App. B
07/08/2020	Merrill Lynch (Australia) Futures Limited	BUY	57,500	Ordinary	250,000	250,000	N/A
07/08/2020	Merrill Lynch (Australia) Futures Limited	SELL	(57,500)	Ordinary	(250,000)	(250,000)	N/A
07/09/2020	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	1,047,856	1,047,856	Refer to App. B
07/09/2020	Merrill Lynch (Australia) Futures Limited	BUY	72,483	Ordinary	295,850	295,850	N/A
07/09/2020	Merrill Lynch (Australia) Futures Limited	BUY	966	Ordinary	4,026	4,026	N/A
07/09/2020	Merrill Lynch (Australia) Futures Limited	SELL	(72,483)	Ordinary	(295,850)	(295,850)	N/A
07/10/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(427,479)	(427,479)	Refer to App. B
07/13/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(454,712)	(454,712)	Refer to App. B
07/13/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(940,814)	(940,814)	Refer to App. B
07/14/2020	Merrill Lynch (Australia) Futures Limited	BUY	57,050	Ordinary	253,557	253,557	N/A
07/14/2020	Merrill Lynch (Australia) Futures Limited	BUY	8,182	Ordinary	37,193	37,193	N/A
07/14/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,299	Ordinary	5,775	5,775	N/A
07/14/2020	Merrill Lynch (Australia) Futures Limited	SELL	(65,419)	Ordinary	(290,750)	(290,750)	N/A
07/15/2020	Merrill Lynch (Australia) Futures Limited	BUY	79,875	Ordinary	350,000	350,000	N/A
07/15/2020	Merrill Lynch (Australia) Futures Limited	SELL	(80,500)	Ordinary	(350,000)	(350,000)	N/A
07/16/2020	Merrill Lynch (Australia) Futures Limited	BUY	83,985	Ordinary	380,111	380,111	N/A
07/16/2020	Merrill Lynch (Australia) Futures Limited	BUY	17,538	Ordinary	79,717	79,717	N/A
07/16/2020	Merrill Lynch (Australia) Futures Limited	SELL	(101,162)	Ordinary	(459,829)	(459,829)	N/A
07/17/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(4,444)	(4,444)	Refer to App. B
07/17/2020	Merrill Lynch (Australia) Futures Limited	BUY	62,890	Ordinary	292,512	292,512	N/A
07/17/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,455	Ordinary	6,612	6,612	N/A
07/17/2020	Merrill Lynch (Australia) Futures Limited	BUY	24,994	Ordinary	116,253	116,253	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/17/2020	Merrill Lynch (Australia) Futures Limited	SELL	(87,884)	Ordinary	(408,765)	(408,765)	N/A
07/20/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(40,295)	(40,295)	Refer to App. B
07/20/2020	Merrill Lynch (Australia) Futures Limited	BUY	57,544	Ordinary	255,883	255,883	N/A
07/20/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,589	Ordinary	7,222	7,222	N/A
07/20/2020	Merrill Lynch (Australia) Futures Limited	SELL	(59,199)	Ordinary	(263,105)	(263,105)	N/A
07/21/2020	Merrill Lynch (Australia) Futures Limited	BUY	8,640	Ordinary	38,400	38,400	N/A
07/21/2020	Merrill Lynch (Australia) Futures Limited	BUY	215,015	Ordinary	955,621	955,621	N/A
07/21/2020	Merrill Lynch (Australia) Futures Limited	BUY	149,472	Ordinary	664,321	664,321	N/A
07/21/2020	Merrill Lynch (Australia) Futures Limited	SELL	(187,065)	Ordinary	(831,398)	(831,398)	N/A
07/22/2020	Merrill Lynch (Australia) Futures Limited	BUY	56,250	Ordinary	250,000	250,000	N/A
07/22/2020	Merrill Lynch (Australia) Futures Limited	SELL	(30,403)	Ordinary	(132,772)	(132,772)	N/A
07/22/2020	Merrill Lynch (Australia) Futures Limited	BUY	2,216	Ordinary	9,851	9,851	N/A
07/22/2020	Merrill Lynch (Australia) Futures Limited	SELL	(137,158)	Ordinary	(609,593)	(609,593)	N/A
07/23/2020	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	1,284,085	1,284,085	Refer to App. B
07/23/2020	Merrill Lynch (Australia) Futures Limited	BUY	102,324	Ordinary	454,775	454,775	N/A
07/23/2020	Merrill Lynch (Australia) Futures Limited	BUY	75,278	Ordinary	303,384	303,384	N/A
07/23/2020	Merrill Lynch (Australia) Futures Limited	SELL	(273,185)	Ordinary	(1,092,738)	(1,092,738)	N/A
07/24/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(62,303)	(62,303)	Refer to App. B
07/24/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(31,860)	(31,860)	Refer to App. B
07/24/2020	Merrill Lynch (Australia) Futures Limited	BUY	95,705	Ordinary	368,674	368,674	N/A
07/24/2020	Merrill Lynch (Australia) Futures Limited	SELL	(95,855)	Ordinary	(368,674)	(368,674)	N/A
07/27/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(1,138,470)	(1,138,470)	Refer to App. B
07/27/2020	Merrill Lynch (Australia) Futures Limited	SELL	(124,317)	Ordinary	(452,063)	(452,063)	N/A
07/27/2020	Merrill Lynch (Australia) Futures Limited	BUY	205,401	Ordinary	775,099	775,099	N/A
07/28/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(6,713)	(6,713)	Refer to App. B
07/28/2020	Merrill Lynch (Australia) Futures Limited	BUY	34,453	Ordinary	130,811	130,811	N/A
07/28/2020	Merrill Lynch (Australia) Futures Limited	SELL	(121,822)	Ordinary	(451,192)	(451,192)	N/A
07/29/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,154	Ordinary	4,275	4,275	N/A
07/29/2020	Merrill Lynch (Australia) Futures Limited	SELL	(1,871)	Ordinary	(6,930)	(6,930)	N/A
07/30/2020	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	3,882,741	3,882,741	Refer to App. B
07/30/2020	Merrill Lynch International	BUY	693	Ordinary	2,568	2,568	N/A
07/30/2020	Merrill Lynch International	BUY	605	Ordinary	2,242	2,242	N/A
07/30/2020	Merrill Lynch International	SELL	(916)	Ordinary	(3,393)	(3,393)	N/A
07/30/2020	Merrill Lynch International	BUY	916	Ordinary	3,393	3,393	N/A
07/30/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,954	Ordinary	7,238	7,238	N/A

<b>Date of change</b>	<b>Person whose relevant interest changed</b>	<b>Nature of change (4)</b>	<b>Consideration (5)</b>	<b>Class (6) of securities</b>	<b>Securities affected</b>	<b>Person's votes affected</b>	<b>Remark</b>
07/30/2020	Merrill Lynch (Australia) Futures Limited	BUY	688	Ordinary	2,597	2,597	N/A
07/31/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(107,042)	(107,042)	Refer to App. B
07/31/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(3,404,560)	(3,404,560)	Refer to App. B
07/31/2020	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(371,139)	(371,139)	Refer to App. B
07/31/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,653	Ordinary	6,483	6,483	N/A
07/31/2020	Merrill Lynch (Australia) Futures Limited	SELL	(649)	Ordinary	(2,597)	(2,597)	N/A
08/03/2020	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	3,882,741	3,882,741	Refer to App. B
08/03/2020	Merrill Lynch (Australia) Futures Limited	BUY	3,120,000	Ordinary	12,000,000	12,000,000	N/A
08/03/2020	Merrill Lynch (Australia) Futures Limited	SELL	(95,613)	Ordinary	(367,742)	(367,742)	N/A
08/03/2020	Merrill Lynch (Australia) Futures Limited	BUY	94,647	Ordinary	367,742	367,742	N/A
08/04/2020	Merrill Lynch (Australia) Futures Limited	BUY	1,778	Ordinary	6,837	6,837	N/A
08/04/2020	Merrill Lynch (Australia) Futures Limited	BUY	26,796	Ordinary	103,061	103,061	N/A
08/04/2020	Merrill Lynch (Australia) Futures Limited	SELL	(26,796)	Ordinary	(103,061)	(103,061)	N/A

## Appendix B-1: Prescribed information

<b>Type of agreement</b>	Securities Lending Agreement
<b>Parties to agreement</b>	Merrill Lynch International and JPMorgan Chase Bank National
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
<b>If yes, detail</b>	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
<b>If yes, detail</b>	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
<b>If yes, detail</b>	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
<b>If yes, detail any exceptions</b>	N/A



## Appendix B-2: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Ltd and Equity Trustees Limited As Trustee And Responsible Entity Of Regal Investment Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-3: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International and Integrated Core Strategies (Asia)
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-4: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Ltd and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-5: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Ltd and Regal Funds Management Pty Limited as Trustee of Tasman Market Neutral Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-6: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Ltd and SSW-TRADING GMBH
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-7: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Ltd and Susquehanna Pacific Pty Ltd
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-8: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Ltd and Virtu Financial Asia Pty Ltd
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix C

<b>Related bodies corporate</b>	<b>Incorporated</b>	<b>ACN/Registration/Incorporation Number</b>
Merrill Lynch International	United Kingdom	2312079
ML UK Capital Holdings Limited	United Kingdom	4971231
BofAML EMEA Holdings 2 Limited	United States	119605
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Ltd	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Ltd	Australia	075923894
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628